

**Krebsprädispositionssyndrom-
Register 01**

Registerleitung:

Prof. Dr. med. Christian Kratz
Prof. Dr. med. Stefan Pfister

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Carl-Neuberg-Straße 1, 30625 Hannover

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Pädiatrische Neuroonkologie, DKFZ
Telefon: 06221 42-4617
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Im Neuenheimer Feld 580, 69120 Heidelberg

Information for adolescents 12-17 years of age

Cancer-Predisposition-Syndrome-Registry-01

- Self-registration-

Dear _____,

You and /or your parents are interested in you participating in a scientific project (Cancer-Predisposition-Syndrome-Registry).

These forms will inform you about the project. You can read them, think about it and discuss with your parents whether you would like to participate. A physician from the Registry team will also have a telephone or personal conversation with you and your parents to explain everything to you and to answer questions.

You have a very rare kind of disease. It is still very much unknown in children and teenagers. That is why a number of physicians have joined forces to research this disease. This is only possible through a very large network of physicians because it is not easy to find out anything about rare diseases.

For this reason, as many and precise medical findings as possible from the individual courses of disease of children and adolescents participating in the project will be documented, stored and evaluated, so that rapid cooperation between the clinics can be ensured. In this way, the physicians can benefit from each other's experience. If you want to participate, your parents or, if your parents give the permission, your physicians would send the documents of your medical history (which means: reports about the beginning, the course and the treatment) to us and to centers that help us. These data would be stored and entered into a database there.

Your medical history will be treated confidentially and within the framework of data protection. This means that only we can see your name in connection with your medical history and we will not tell your story in

connection with your name or address. If we share our experience in treating your illness with other physicians (e.g. in a publication), your name and address will be deleted, i.e. it will not be possible to see that you are meant.

In addition, the CPS-Registry-01 team also plans to carry out so-called molecular biological studies. The aim is to clarify questions relating to the development and characteristics of rare diseases in children and young adults so the disease can be recognized earlier and treated better. To this end, tissue samples will be collected and stored in order to be able to carry out scientific investigations once we have enough material. With "tissue" we mean small portions of your blood (approx. 5 ml). For this purpose, however, we do not take extra blood, but blood that is collected during routine blood sampling. In case of cancer, we would keep a sample, but only if a sample is taken for medical reasons anyway.

We would be very happy if you would like to help us and other patients with this disease and we could also add your documents to our database.

If you do not want to participate or if you want to withdraw your consent, this is not a problem. Your medical treatment will be continued normally and for your best.

As soon as you are of legal age, we will contact you again to ask if you would like to continue participating in the study.

You can ask your physicians if you do not understand something. They will gladly help you.

Thank you very much.

Your team of physicians

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Informed Consent for patients 12-17 years of age

Cancer-Predisposition-Syndrome-Registry-01

- Self-registration -

Surname, first name of the patient

Date of birth

Treating hospital

I agree that information about my illness as well as blood and possibly tumor samples, as described in the information form, may be passed on to the CPS-Registry 01 so that research can be conducted on my illness. The aim of the research is to learn more about the disease in the long term. With the knowledge gained in this way, it should be possible to treat those affected better in the future.

The study was explained to me personally and I read the information form. I had the opportunity to ask questions. I know that my participation is voluntary and that I can withdraw my consent at any time without giving reasons and without any disadvantages. I understand that I will be contacted again as soon as I am 18 years old so that I can then decide whether I want to continue participating in the study.

CONFIRMATION OF THE PARTICIPANT

Surname, first name of the patient

Place, date

Signature of the patient

CONFIRMATION OF THE REGISTRY PHYSICIAN

I have discussed the CPS-Registry-01 and the accompanying research including the patient information and the declaration of consent with the patient. All questions were answered comprehensively. I explained to the participant that participation is voluntary. I have obtained the patient's consent.

Name of physician

Place, date

Signature of physician

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Information for parents/guardians

Cancer-Predisposition-Syndrome-Registry-01

- Self-registration -

Dear Parents/Guardians,

The physician of your child has informed you that your child's has been diagnosed with a cancer predisposition syndrome. One main feature of this genetic condition is an increased cancer risk.

The medical care for individuals with cancer predisposition syndromes has not been standardized in the past. For specific cancer predisposition syndromes, participation in surveillance programs may be useful to detect and treat cancer(s) at an early stage.

Our registry has been established in order to pursue the following aims:

- To assemble a database with medical and genetic information from a large group of individuals with cancer predisposition syndromes. By doing so, we learn the natural history of cancer predisposition syndromes. The resulting knowledge will be used to create recommendations for patients and health care professionals.
- To offer advice to your child's physician regarding the evaluation and interpretation of important findings related to your child's health. Due to the fact that we receive, evaluate and interpret a large number of such findings, we hope that our analysis may improve the quality of your child's medical care.
- To collect blood samples and (if applicable) bone marrow and tumor specimens from patients with a cancer predisposition syndrome for research purposes. Our research aims to better understand the basic genetics of cancer predisposition syndromes. For example, not all cancer predisposition syndrome-causing genes are known. In addition, many of the genetic factors that negatively or positively influence the clinical course of patients with a cancer predisposition syndrome remain unidentified. Therefore, we aim at uncovering these modifying factors. Finally, by genetically

analyzing tumor specimens from individuals with a cancer predisposition syndrome, we endeavor to discover the tumor-specific molecular mechanisms leading to cancer.

Brief Overview

Current state of knowledge: More than 50 cancer predisposition syndromes have been described. For many of these conditions, the precise cancer risks are unknown. For several cancer predisposing conditions, cancer surveillance strategies are recommended; however, little is known about the effectiveness of these recommendations. In addition, the genetic principals of cancer predisposition as well as the molecular mechanisms in cancer cells in patients with these conditions are poorly understood.

Study design: Our registry represents a natural history study. We collect medical information, radiological images and findings, genetic testing results, biospecimen such as blood and if available, bone marrow and tumor specimens from individuals with a cancer predisposition syndrome, in order to learn more about cancer predisposition syndromes and their associated cancers.

Procedures and processes: You contact the registry team yourself to arrange the registration of your child in the CPS-R01 registry. The education about the project is provided by telephone or in a personal conversation with a registry doctor. Copies of your medical findings/physician's letters and radiological images, which were collected in the course of standard examinations, as well as your child's genetic findings will be sent by yourself by postal service, fax or as an encrypted e-mail to the registry. Another possibility is to give the registry team the permission to obtain the above-mentioned information from the attending physicians. Since this is a long-term investigation that addresses the course of a condition over several years, the study has no defined duration. Therefore, results from follow-up investigations will be forwarded by yourself to the registry or will be collected from the attending physicians by the registry team with your permission. Because the registry collects information from multiple individuals with cancer predisposition syndromes, these measures result in knowledge related to the long-term course of different cancer predisposition syndromes.

If you would like to support biological research, we will be able to provide and sent tubes for blood samples. At the time that blood is drawn for your child's standard medical care, additional blood samples can be taken and sent to the registry. If, for example, an operation is planned due to a tumor, you can contact the registry team in advance, which will then organize the shipment of a sample that is not otherwise required for your child's treatment.

The Risks and Benefits of Participation

Expected individual benefit:

Donating your child's biospecimen and medical data will not necessarily lead to a direct benefit to you. Our analysis serves primarily as scientific research and is not intended as actionable conclusions for your child's health. Nevertheless, the research may lead to findings that may be of importance to your health. In the situation, when an analysis reveals evidence of a severe previously unknown condition, that can potentially be treated or prevented, we may want to contact you in order to give you this information (see below).

In case you do not wish to receive this type of feedback, please check the box containing "no" on the informed consent. Any time, you can change your decision for or against this feedback option by letting us know. However, please note that you may be obligated to disclose health related information that you receive

through our registry, if you prefer this option, to other parties such as health- or life insurance companies. This may be a disadvantage for your child.

The statement above is also relevant to genetic analyses and the discovery of genetic conditions. Therefore, this information may be relevant for your child's family members and family planning.

In the long-term, biospecimen and data collected through our registry is meant eventually to improve the care of patients with cancer predisposition syndromes (possibly including participants of the registry). For example, we would like to explore the role of regular evidence-based surveillance strategies. In addition, we offer your treatment team the opportunity to assess important findings. Because of receiving and evaluating a lot of these examinations, we have a comparatively large amount of experience with the disease. Therefore, we expect a high quality of our assessment and, if necessary, a benefit for your care.

General Benefit of the Registry:

Medical studies of this kind aim at a better understanding of the processes associated with disease development and improvement in diagnosis and care. Other patients with a cancer predisposition syndrome not participating in this research may benefit from our findings because our research aims at improving the care of all affected patients. Through the collection of biospecimen from individuals with a cancer predisposition syndrome, cancer research in general is advanced. There may be benefit for patients with cancer even in the absence of a cancer predisposition syndrome. We know that mechanism playing a role in individuals with a cancer predisposition syndrome may be relevant for cancer patients on the whole. In theory, it is possible that our research contributes to better therapy and prognosis of cancer patients.

Risks and Disadvantages of participation:

Blood draws are normally performed throughout your child's medical care. On these occasions, we would like to collect an extra 5-10 ml (one to two teaspoons) of blood for our biobank. This extra blood does not lead to extra risk for your child. Since our study is a natural history study, there are no additional physical risks.

A tumor specimen is collected for research purposes only when a biopsy or tumor resection is performed as part of the patient's medical care. The size of the collected tumor specimen cannot be predicted as it depends on the size of the removed tumor sample. It is assured that research samples will be collected only if there is sufficient tumor material for diagnostic and therapeutic decisions for the patient. Therefore, the collection of tumor specimen for our registry does not impose additional risks.

Every ascertainment, storage, transfer of data is associated with the risk of breaching the confidentiality of the data (e.g. risk of identifying your person/information), which may be especially relevant for genetic information. It is impossible to entirely exclude this type of risk. This risk increases with the amount of connected data, especially if you are publishing genetic information in the internet on your own. Please see below regarding data and material security.

Purpose of collecting biospecimen:

Collected blood and tissue samples will be stored. We plan research on the mechanisms of cancer development utilizing these materials. We may use your biospecimen to produce long-living cell culture, to investigate the behavior of cells including when exposed to specific drugs. The samples are reserved for research related to the underlying condition. For example, we would like to determine why certain individuals

with a cancer predisposition have a higher cancer rate than others with the same condition. Also, we would like to elucidate molecular mechanisms of cancer development occurring in cancer cells. We also plan genetic studies that may involve studies of the entire genetic material (e.g., genome). The biomaterial and data will be available for research purposes for an unlimited period of time.

Protection of your child's biospecimen and data:

Biospecimen will be stored in the biobank of Hannover Medical School of indefinite duration. Clinical data will be stored on the secured XClinical (biomedical software company) server in MARVIN, the main data management system of the German Society of Pediatric Oncology and Hematology. All identifying information (name, date of birth, address, etc.) will be replaced by a code for research purposes. Only after this process, biospecimen and data will be made available for research. Transfer of identifying information to researchers or others such as insurances and employers does not occur.

Only coded biospecimen and data are used for research conducted by universities, research institutes or companies. It is possible that our registry data will be connected to your child's data in other databases, in accordance with the law and appropriate ethic committee approvals. For example, if your child has a tumor for which your child is receiving treatment on a clinical trial, information entered for this trial may be coupled our database and vice versa. Authorization to use biospecimen and data in a research study is limited to a specific researcher and project. The researcher is prohibited from using or passing on the biospecimen and data for additional purposes without permission. Remaining material is returned to the Hannover Medical School biobank or is destroyed.

Prior to using the biospecimen and data for a research project, a researcher must obtain an institutional ethics board approval. Institutional ethics board approval ensures that appropriate steps are taken to protect the rights and welfare of subjects of a research study. Publications are written in an anonymized fashion to prohibit identification of individual participants. This implies the situation, if data is entered into scientific online databases. A publication of your entire genetic information (genome) is impossible without your explicit written permission.

In order to consult with your physician regarding your medical care, our registry keeps a locked file with identifying information (e.g. name, date of birth, address). Dissemination of identifying information to researchers or other parties (e.g., insurances, employers) does not occur. **If you live in Germany, identifying information may be exchanged with the study group that is in charge of your cancer treatment plan as well as the German Childhood Cancer Registry in Mainz.**

Financial benefits to you or the biobank:

You will not be paid for allowing us to collect and store your child's biospecimen and data. You will not receive financial or other compensation, should findings resulting from the research on your child's biospecimen become commercially valuable. Your child's biospecimen stored in the biobank of Hannover Medical School is the property of the CPS-R01 of the German Society of Pediatric Oncology and Hematology. You authorize the registry to use your child's data for research purposes. Your specimen and data will not be sold. However, the biobank can ask researchers for an expense allowance for providing specimen to collaborating researchers.

Other Questions and Concerns

Is it possible that you will be contacted regarding the registry?

You regularly pass on medical results of check-ups to the registry team or (if you have given your consent) the registry team regularly obtains medical results of check-ups from your child's treating physicians. In case of missing data, it could be useful that you are contacted at a later time point in order to request additional information or specimen. Also, this contact could occur to request your permission for connecting your child's data with other databases or in order to give feedback to you or your child's physician on findings that may be relevant to your child's health. The contact is established according to your information in the informed consent, exclusively via you directly or via your supervising medical team.

In case you do not wish to be contacted, please check the box containing "no" on the informed consent.

What is your right of withdrawal from the registry?

Any time and without justification and disadvantages you may withdraw your consent that allows us to use your child's biospecimen and data. In case you withdraw your consent, you may decide whether your child's specimen should be destroyed or be anonymized. In the latter situation, we delete the identification code that allows us to connect a specimen to your child. One has to keep in mind that such an anonymization process cannot entirely exclude the small chance that a specimen can be tracked back to your child. Deletion of data may be limited technically feasibility, however.

You can also decide whether your child's already collected data should be deleted or may be further used in anonymized form. Data can only be deleted under the conditions of Art. 17 DSGVO.

As soon as the purchase of the biomaterials and other data relating to your child's person has been deleted (anonymization), destruction is no longer possible. In addition, data from analyses already carried out can no longer be removed.

In case you would like to withdraw your consent, please contact:

Christian P. Kratz, MD, Director
Pediatric Hematology and Oncology
Hannover Medical School
Carl-Neuberg-Str. 1
30625 Hannover
Germany
Phone: +49 (0)511 532 6711
Fax: +49 (0)511 532 9120
Email: kratz.christian@mh-hannover.de

Is your participation voluntary?

Your participation in this registry is voluntary. In case you prefer not to participate, your child will experience no disadvantage.

Where can I get additional information?

Should you have additional questions, please contact your physician prior to giving your consent. You can also contact Prof. Kratz or Prof. Pfister. Information regarding findings can be found on www.krebspraedisposition.de.

We are happy to address your questions.

Sincerely

A handwritten signature in dark ink, appearing to read 'C. Kratz'.

Christian Kratz, MD

A handwritten signature in dark ink, appearing to read 'Stefan Pfister'.

Stefan Pfister, MD

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Informed consent for parents/guardians

Enrollment in Cancer-Predisposition–Syndrome-R01

- Self-registration -

Patient (Last Name, First Name)

Date of Birth (dd/mm/yy)

Treating Hospital

I have read the information sheet and have had the opportunity to ask questions. My questions were answered comprehensively and comprehensibly. I know that my child's participation is voluntary and that I can retract my consent any time without justification and without disadvantages. I had enough time to consider my decision to my child's participation and make my own decision.

I give my informed consent that my child's biospecimen as well as medical information as described in the information sheet are sent to the CPS-R01 to be used for medical research purposes.

I agree that the registry team may obtain medical reports and letters from my child's attending physicians.

☐ yes Physician's name and address: _____

Treating Hospital: _____

Phone number of physician: _____

☐ no

I transfer ownership of my child's biospecimen to the CPS-R01 of the German Society of Pediatric Oncology and Hematology

I approve that I may be contacted at a later point in time for the following reasons, please check the boxes with "yes" or "no":

- For the collection of additional medical information/biospecimen ☐ yes ☐ no
- If further consent is necessary for the use of your child's medical information ☐ yes ☐ no
- If our research identifies actionable findings that may be relevant to your child's health ☐ yes ☐ no

This contact will occur through

☐ myself

Address: _____

Phone number: _____

☐ the following physician

Name of physician: _____

Address of physician: _____

Data Protection Statement

I approve that the registry team conducts the following actions (also described in the information sheet):

- Collects and stores the initials of my child's first and last name as well as the quarter and year of birth**
- Collects and stores identifying materials and information related to my child's health**
- Extracts additional health related data containing identifying information from my child's medical records**
- After the collection, the biospecimen and data will be coded to de-identify the data to be used for medical research purposes**
- Coded non-identifying information will be used for scientific publications and online databases**

My child's biospecimen and data may be used for medical research purposes for an unlimited time period.

In a non-identifiable manner, biospecimen and data may be transferred to universities, research institutes/companies for the purpose of medical research. This may include international research projects.

Identifying data can be exchanged with therapy study of the corresponding cancer, the clinical cancer registry of province and the children's cancer registry.

I was informed that I may withdraw my consent to my child's participation in the registry any time without justification. In case of withdrawal, I may request that any remaining biospecimen and data be destroyed, deleted or anonymized.

Please note: If an analysis has been completed, the data cannot be removed.

I have the right to be informed about the stored personal data concerning my child (Art. 15 DS-GVO). If I discover that incorrect personal data of my child are being processed, I can demand correction (Art. 16 DS-GVO).

I have the right to demand the deletion of personal data if certain reasons for deletion exist. This is the case, for example, if the personal data are no longer necessary for the purpose for which they were originally collected or processed, or if I revoke my consent and there is no other legal basis for the processing (Art. 17 DS-GVO). Furthermore, I have the right to limit the processing of my child's personal data (Art. 18 DS-GVO), to data transferability (Art. 20 DS-GVO) and a general right of objection (Art. 21 DS-GVO).

Data controller: Christian Kratz, MD
Pediatric Hematology and Oncology, OE 6780
Carl-Neuberg-Straße 1
30625 Hannover
Germany

If I have any questions or if I have the opinion that the processing of my child's personal data is not lawful, I have the option of contacting the MHH data protection officer:

Datenschutzbeauftragte der MHH
OE 0007
Carl-Neuberg-Straße 1
30625 Hannover
Germany

I have the right to complain at the supervisory authority if I believe that the processing of my child's personal data is not lawful.

The address of the supervisory authority responsible for the MHH is:

Die Landesbeauftragte für den Datenschutz Niedersachsen
Prinzenstraße 5
30159 Hannover
Germany

I am aware that the data protection regulations set out in the information letter also applies.

I have received a copy of the information sheet and the signed informed consent. The original is kept in the patient's medical record.

In addition, I was orally informed about the study.

CONFIRMATION OF PARENTS/GUARDIANS

Name of patient

Place, date

Signature of parents/guardians

CONFIRMATION FROM THE ATTENDING PHYSICIAN

I have discussed the registry CPS-R01 and the accompanying research including the patient information and the declaration of consent with the custodians. All questions were answered comprehensively. I have explained to the parents/guardians that participation is voluntary.

Name of physician

Place, date

Signature of physician